# **Quaternion Algebras with the Same Subfields**

Skip Garibaldi and David J. Saltman

**Summary** Prasad and Rapinchuk asked if two quaternion division F-algebras that have the same subfields are necessarily isomorphic. The answer is known to be "no" for some very large fields. We prove that the answer is "yes" if F is an extension of a global field K so that F/K is unirational and has zero unramified Brauer group. We also prove a similar result for Pfister forms and give an application to tractable fields.

# 1 Introduction

Gopal Prasad and Andrei Rapinchuk asked the following question in Remark 5.4 of their paper [PR]:<sup>1</sup>

If two quaternion division algebras over a field F have the same maximal subfields, are the algebras necessarily isomorphic? (1.1)

The answer is "no" for some fields F, see Sect. 2 below. The answer is "yes" if F is a global field by the Albert-Brauer-Hasse-Minkowski Theorem [NSW, 8.1.17]. Prasad and Rapinchuk note that the answer is unknown even for fields like  $\mathbb{Q}(x)$ . We prove that the answer is "yes" for this field.

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<sup>&</sup>lt;sup>1</sup> The reference [40] in [PR] should point to this paper.

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**Theorem 1.2.** Let F be a field of characteristic  $\neq 2$  that is transparent. If  $D_1$  and  $D_2$  are quaternion division algebras over F that have the same maximal subfields, then  $D_1$  and  $D_2$  are isomorphic.

The term "transparent" is defined in Sect. 6 below. Every retract rational extension of a local, global, real-closed, or algebraically closed field is transparent; in particular  $K(x_1, \ldots, x_n)$  is transparent for every global field K of characteristic  $\neq 2$  and every n. There are many other examples.

The theorem can be viewed as a statement about symbols in the Galois cohomology group  $H^2(F, \mathbb{Z}/2\mathbb{Z})$ . We also state and prove an analogue for symbols in Galois cohomology of  $H^d(F, \mathbb{Z}/2\mathbb{Z})$  – i.e., d-Pfister quadratic forms – in Theorem 9.1 later.

**Notation.** A *global field* is a finite extension of  $\mathbb{Q}$  or of  $\mathbb{F}_p(t)$  for some prime p. A *local field* is a completion of a global field with respect to a discrete valuation.

We follow [Lam 05] for notation on quadratic forms, except that we define the symbol  $\langle\langle a_1,\ldots,a_d\rangle\rangle$  to be the *d*-Pfister form  $\otimes_{i=1}^d\langle 1,-a_i\rangle$ . For a Pfister form  $\varphi$ , we put  $\varphi'$  for the unique form such that  $\varphi\cong\langle 1\rangle\oplus\varphi'$ .

# 2 An Example

**Example 2.1.** Several people (in no particular order: Markus Rost, Kelly McKinnie, Adrian Wadsworth, Murray Schacher, Daniel Goldstein, etc.) noted that some hypothesis on the field F is necessary for the conclusion of the theorem to hold. Here is an example to illustrate this.

Let  $F_0$  be a field of characteristic  $\neq 2$  that has quaternion division algebras  $Q_1$ ,  $Q_2$  that are not isomorphic. Replacing  $F_0$  if necessary by the function field of a generalized Severi-Brauer variety, we may assume that  $Q_1 \otimes Q_2$  has index 2 and so that  $Q_1$  and  $Q_2$  both contain a maximal subfield  $F_0(\sqrt{b})$ . Write  $\varphi_i := \langle \langle a_i, b \rangle \rangle$  for the norm form of  $Q_i$ . The Albert form  $\varphi_1 - \varphi_2$  has anisotropic part similar to  $\gamma = \langle \langle a_1 a_2, b \rangle \rangle$ .

Fix an extension  $E/F_0$  and a proper quadratic extension  $E(\sqrt{c})$  contained in  $Q_i$  but not  $Q_{i+1}$ , with subscripts taken modulo 2. Equivalently, -c is represented by  $\varphi_i'$  but not by  $\varphi_{i+1}'$ . Put  $q_{i,c} := \langle c \rangle \oplus \varphi_{i+1}'$ ; it is anisotropic. Further, its determinant c is a nonsquare, so  $q_{i,c}$  is not similar to  $\varphi_i$ ,  $\varphi_{i+1}$ , nor  $\gamma$ . All three of those forms remain anisotropic over the function field  $E(q_{i,c})$  of  $q_{i,c}$  by [Lam 05, X.4.10(3)]. That is,  $Q_1 \otimes E(q_{i,c})$  and  $Q_2 \otimes E(q_{i,c})$  are division and contain  $E(q_{i,c})(\sqrt{c})$ , and the two algebras are still distinct.

We build a field F from  $F_0$  inductively. Suppose we have constructed the field  $F_j$  for some  $j \ge 0$ . Let  $F_{j+1}$  be the composita of the extensions  $F_j(q_{i,c})$  for c as in the previous paragraph with  $E = F_j$  and i = 1, 2. Let F be the colimit of the  $F_j$ .

By construction,  $Q_1 \otimes F$  and  $Q_2 \otimes F$  are both division, are not isomorphic to each other, and have the same quadratic subfields. This shows that some hypothesis on the field F is necessary for the conclusion of the theorem to hold.

One cannot simply omit the hypothesis "division" in the theorem, by Example 3.6.

Furthermore, Theorem 1.2 does not generalize to division algebras of prime degree > 2, even over number fields. One can easily construct examples using the local–global principle for division algebras over a number field, see [PR, Example 6.5] for details.

#### 3 Discrete Valuations: Good Residue Characteristic

Fix a field F with a discrete valuation v. We write  $\bar{F}$  for the residue field and  $\hat{F}$  for the completion. (Throughout this note, for fields with a discrete valuation and elements of such a field, we use bars and hats to indicate the corresponding residue field/completion and residues/image over the completion.)

For a central simple F-algebra A, we write  $\hat{A}$  for  $A \otimes \hat{F}$ . It is Brauer-equivalent to a central division algebra B over  $\hat{F}$ . As v is complete on  $\hat{F}$ , it extends uniquely to a valuation on B by setting  $v(b) := \frac{1}{\deg B} v(\operatorname{Nrd}_B(b))$  for  $b \in B^\times$ , see, e.g., [R, 12.6]. We say that A is *unramified* if  $v(B^\times) = v(F^\times) - \operatorname{e.g.}$ , if  $\hat{F}$  splits A – and *ramified* if  $v(B^\times)$  is strictly larger. That is, A is ramified or unramified if v is so on B. Note that these meanings for ramified and unramified are quite a bit weaker than the usual definitions (which usually require that the residue division algebra of B be separable over  $\hat{F}$ ).

The valuation ring in  $\hat{F}$  is contained in a noncommutative discrete valuation ring S in B, and the residue algebra of S is a (possibly commutative) division algebra  $\bar{B}$  whose center contains  $\bar{F}$ . One has the formula

$$\dim_{\bar{F}} \bar{B} \cdot [v(B^{\times}) : v(F^{\times})] = \dim_{\hat{F}} B$$

(see [W, p. 393] for references). That is, A is unramified if and only if  $\dim_{\bar{F}} \bar{B} = \dim_{\hat{F}} B$ .

We use a few warm-up lemmas.

**Lemma 3.1.** Let  $A_1, A_2$  be central simple algebras over a field F that has a discrete valuation. If there is a separable maximal commutative subalgebra that embeds in one of the  $\hat{A}_i$  and not the other, then the same is true for the  $A_i$ .

*Proof.* Let  $\hat{L}$  be a separable maximal commutative subalgebra of  $\hat{A}_1$  that is not a maximal subalgebra of  $\hat{A}_2$ . Choose  $\hat{\alpha} \in \hat{L}$  so that  $\hat{L} = \hat{F}(\hat{\alpha})$ . As  $A_1$  is dense in  $\hat{A}_1$ , we can choose  $\alpha \in A_1$  as close as we want to  $\hat{\alpha}$ . Thus we can choose the Cayley-Hamilton polynomial of  $\alpha$  to be as close as we need to that of  $\hat{\alpha}$ . In particular, by Krasner's Lemma (applied as in [R, 33.8]), we can assume that  $\hat{F}(\alpha) \cong \hat{F}(\hat{\alpha})$ . In particular,  $\hat{F}(\alpha)$  is not a maximal subalgebra of  $\hat{A}_2$ , which implies that  $F(\alpha)$  is not a maximal subalgebra of  $A_2$  and is by construction a maximal subfield of  $A_1$ . Because  $\hat{F}(\alpha) \cong \hat{F}(\hat{\alpha})$ , the minimal polynomial of  $\alpha$  over F has nonzero discriminant and hence is separable.

**Lemma 3.2.** Let  $A_1, A_2$  be central division algebras of prime degree over a field F with a discrete valuation. If

- I.  $\hat{A}_1$  is split and  $\hat{A}_2$  is not; or
- 2. Both  $\hat{A}_1$  and  $\hat{A}_2$  are division, but only one is ramified,

then there is a separable maximal subfield of  $A_1$  or  $A_2$  that does not embed in the other.

*Proof.* The statement is obvious from Lemma 3.1. In case (1), we take the diagonal subalgebra in  $\hat{A}_1$ . In case (2), we take a maximal separable subfield that is ramified.

For the rest of this section, we fix a prime p and let F be a field with a primitive p-th root of unity  $\zeta$ ; we suppose that F has a discrete valuation v with residue field of characteristic different from p.

A degree p symbol algebra is an (associative) F-algebra generated by elements  $\alpha, \beta$  subject to the relations  $\alpha^p = a$ ,  $\beta^p = b$ , and  $\alpha\beta = \zeta\beta\alpha$ . It is central simple over F [D, p. 78] and we denote it by  $(a,b)_F$  or simply (a,b).

**Lemma 3.3.** If A is a degree p symbol algebra over F, then A is isomorphic to  $(a,b)_F$  for some  $a,b \in F^{\times}$  with v(a) = 0.

*Proof.* Write A as (a,b) where  $a = x\pi^{n_a}$  and  $b = y\pi^{n_b}$  where x,y have value 0 and  $\pi$  is a uniformizer for F. We may assume that p divides neither  $n_a$  nor  $n_b$ , so  $n_a = sn_b$  modulo p. But A is isomorphic to  $(a(-b)^{-s},b)$  because (-b,b) is split [D, p. 82, Cor. 5], and  $v(a(-b)^{-s})$  is divisible by p.

- **3.4.** Let (a,b) be a symbol algebra as in Lemma 3.3 and write  $\hat{F}$  for the completion of F at v. If  $(a,b) \otimes \hat{F}$  is not division, certainly (a,b) is *unramified*. Otherwise, there are two cases:
- If v(b) is divisible by p, then we can assume v(b) = 0, (a,b) is unramified, and  $\overline{(a,b)}$  is the symbol algebra  $(\bar{a},\bar{b})_{\bar{F}}$ . (The residue algebra which is division contains  $(\bar{a},\bar{b})_{\bar{F}}$ , hence they must be equal.)
- If v(b) is not divisible by p, then (a,b) is ramified and  $\overline{(a,b)}$  is the field extension  $\overline{F}(\sqrt[p]{a})$ . (By hypothesis, a is not a p-th power in  $\widehat{F}$ , so by Hensel's Lemma  $\overline{a}$  is not a p-th power in  $\overline{F}$ .)

**Proposition 3.5.** Let  $A_1$  and  $A_2$  be degree p symbol algebras over F that are division algebras. If

- 1. A<sub>1</sub> ramifies and A<sub>2</sub> does not; or
- 2.  $A_1$  and  $A_2$  both ramify but their ramification defines different extensions of the residue field  $\bar{F}$ ,

then there is a maximal subfield L of one of the  $A_i$  that does not split the other.

*Proof.* Write  $A_i$  as  $(a_i,b_i)$ . We can assume  $v(a_1) = v(a_2) = 0$ . If both the  $A_i$  ramify, then the  $v(b_i)$  must be prime to p and the field extensions of the ramification of the  $A_i$  must be  $\bar{F}(\sqrt[p]{a_i})$ . If these are different fields, then the field  $L_2 := F(\sqrt[p]{a_2})$  cannot split  $A_1$  because it does not split the ramification of  $A_1$ .

Thus assume  $A_2$  is unramified and  $A_1$  ramifies. In particular,  $A_1 \otimes \hat{F}$  is division, and we are done by Lemma 3.2.

**Example 3.6.** In the proposition, the hypothesis that  $A_1$  and  $A_2$  are both division is necessary. Indeed, for  $\ell \neq 2$ , the field  $\mathbb{Q}_{\ell}$  of  $\ell$ -adic numbers has two quaternion algebras: one split and one division. Only one is ramified, but both are split by every quadratic extension of  $\mathbb{Q}_{\ell}$ .

#### 4 Discrete Valuations: Bad Residue Characteristic

In this section, we consider quaternion algebras over a field with a dyadic discrete valuation. The purpose of this section is to prove:

**Proposition 4.1.** Let  $A_1, A_2$  be quaternion division algebras over a field F of characteristic 0 that has a dyadic discrete valuation. If  $A_1 \otimes A_2$  is Brauer-equivalent to a ramified quaternion division algebra with residue algebra a separable quadratic extension of  $\bar{F}$ , then there is a quadratic extension of F that embeds in  $A_1$  or  $A_2$  but not both.

What really helps nail down the structure of  $A_1 \otimes A_2$  is the hypothesis that the residue algebra is a separable quadratic extension. In particular, the division algebra underlying  $A_1 \otimes A_2$  is "inertially split" in the language of [JW] or "tame" in the language of [GP].

**Example 4.2.** Let B be a quaternion division algebra over a field F of characteristic 0 that is complete with respect to a dyadic discrete valuation, and suppose that the residue algebra  $\bar{B}$  contains a separable quadratic extension  $\bar{L}$  of F. One can lift  $\bar{L}/\bar{F}$  to a *unique* quadratic extension L/F and write B = (L,b) for some  $b \in F^{\times}$  that we may assume has value 0 or 1. One finds two possibilities:

• If v(b) = 0, then  $\bar{B}$  is a quaternion algebra over  $\bar{F}$  generated by elements i, j such that

$$\bar{F}(i) = \bar{L}, \ i^2 + i \in \bar{F}, \ j^2 = \bar{b}, \text{ and } ij = j(i+1).$$

In this case B is unramified.

• If v(b) = 1, then  $\bar{B} = \bar{L}$  and B is ramified.

For detailed explanation of these claims, see, e.g., [T] or [GP]. (Unlike the situation in the preceding section, there are more than just these two possibilities for general quaternion algebras over F, but in those other cases the residue algebra  $\bar{B}$  is a purely inseparable extension of  $\bar{F}$  of dimension 2 or 4 with  $\bar{B}^2 \subseteq \bar{F}$ . Note that if L/F is unramified and  $\bar{L}/\bar{F}$  is purely inseparable, then L/F is *not* uniquely determined by  $\bar{L}/\bar{F}$ .)

*Proof* (of Proposition 4.1). Put B for the quaternion division F-algebra underlying  $A_1 \otimes A_2$ . By the hypothesis on its residue algebra, B remains division when we

extend scalars to the completion  $\hat{F}$ . Hence at least one of  $\hat{A}_1$ ,  $\hat{A}_2$  is division; by Lemma 3.2 (using that  $A_1$  and  $A_2$  are division) we may assume that both  $\hat{A}_1$  and  $\hat{A}_2$  are division and that the valuation has the same ramification index on both algebras. By Lemma 3.1, we may assume that F is complete.

For sake of contradiction, suppose that  $A_1$  and  $A_2$  have the same subfields. The residue division algebra  $\bar{A}_1$  is distinct from  $\bar{F}$  and we can find a quadratic subfield L of  $A_1$  so that the residue field  $\bar{L}$  is a quadratic extension of  $\bar{F}$ . As L is a maximal subfield of  $A_1$ , it is also one for  $A_2$  and hence B. Certainly,  $\bar{L}$  is a subfield of the residue algebra  $\bar{B}$ ; as both have dimension 2 over  $\bar{F}$  they are equal. That is,  $\bar{L}/\bar{F}$  is separable. We write  $A_i = (L, a_i)$  and B = (L, b) for some  $a_i, b \in F^\times$ . As the valuation does not ramify on L we may assume that v(b) = 1. As  $\bar{A}_i$  contains the separable extension  $\bar{L}/\bar{F}$ , we have  $v(a_1) = v(a_2)$  as in Example 4.2. Then  $A_1 \otimes A_2 \otimes B$  — which is split — is Brauer-equivalent to  $(L, a_1 a_2 b)$ , which is ramified (because  $v(a_1 a_2 b) = 1 + 2v(a_i)$  is odd) and in particular not split. This is a contradiction, which completes the proof of the proposition.

# 5 Unramified Cohomology

For a field F of characteristic not 2, we write  $H^d(F)$  for the Galois cohomology group  $H^d(F, \mathbb{Z}/2\mathbb{Z})$ . We remind the reader that for d=1, this group is  $F^{\times}/F^{\times 2}$  and for d=2 it is identified with the 2-torsion in the Brauer group of F.

**Definition 5.1.** Fix an integer  $d \ge 2$ . We define  $H_u^d(F)$  to be the subgroup of  $H^d(F)$  consisting of classes that are unramified at every nondyadic discrete valuation of F (in the usual sense, as in [GMS, p. 19]) and are killed by  $H^d(F) \to H^d(R)$  for every real closure R of F.

- **Example 5.2.** 1. A local field F (of characteristic  $\neq 2$ ) has  $H_u^2(F) = 0$  if and only if F is nondyadic. Indeed, F has no orderings, so it suffices to consider the unique discrete valuation on F.
- 2. A global field F (of characteristic  $\neq$  2) has  $H_u^2(F) = 0$  if and only if F has at most 1 dyadic place. This is Hasse's local–global theorem for central simple algebras [NSW, 8.1.17].
- 3. A real closed field *F* has  $H_u^d(F) = 0$  for all  $d \ge 2$ ; this is trivial.

A local field F (of characteristic  $\neq 2$ ) has  $H_u^d(F) = 0$  for  $d \geq 3$ . This is trivial because such a field has no orderings and cohomological 2-dimension 2.

**Lemma 5.3.** If  $F(\sqrt{-1})$  has cohomological 2-dimension < d, then  $H_u^d(F)$  is zero.

*Proof.* If an element  $x \in H^d(F)$  is zero at every real closure, then by [A, Satz 3]  $x \cdot (-1)^r$  is zero for some  $r \ge 0$ . On the other hand, there is an exact sequence for every n [KMRT, 30.12(1)]:

$$H^n(F(\sqrt{-1})) \xrightarrow{\operatorname{cor}} H^n(F) \xrightarrow{\cdot (-1)} H^{n+1}(F) \xrightarrow{\operatorname{res}} H^{n+1}(F(\sqrt{-1}))$$

For  $n \ge d$ , the two end terms are zero, so the cup product with  $(-1)^r$  defines an isomorphism  $H^d(F) \xrightarrow{\sim} H^{d+r}(F)$  for all r > 0.

We immediately obtain:

**Corollary 5.4.** A global field F of characteristic  $\neq 2$  has  $H_u^d(F) = 0$  for all  $d \geq 3$ .

**Corollary 5.5.** If F has transcendence degree  $\geq t$  over a real-closed field then  $H_u^d(F) = 0$  for all d > t.

*Proof.*  $F(\sqrt{-1})$  has transcendence degree t over an algebraically closed field, hence has cohomological dimension  $\leq t$  [Se, Sect. II.4.3].

We define a discrete valuation of an extension  $F/F_0$  to be a discrete valuation on F that vanishes on  $F_0$ .

**Proposition 5.6.** For every extension  $F/F_0$ , the homomorphism res:  $H^d(F_0) \to H^d(F)$  restricts to a homomorphism  $H^d_u(F_0) \to H^d_u(F)$ . If, additionally,  $F/F_0$  is unirational and

every class in 
$$H^d(F)$$
 that is unramified at every discrete valuation of  $F/F_0$  comes from  $H^d(F_0)$  (5.7)

then the homomorphism  $H_u^d(F_0) \to H_u^d(F)$  is an isomorphism.

*Proof.* Fix  $y \in H_u^d(F_0)$ . Every ordering of F restricts to an ordering on  $F_0$ ; write R and  $R_0$  for the corresponding real closures. By hypothesis, the image of y in  $H^d(R_0)$  is zero, hence it also has zero image under the composition  $H^d(F_0) \to H^d(R_0) \to H^d(R)$ . It is easy to see that  $\operatorname{res}(y)$  is unramified at every nondyadic discrete valuation of F, and the first claim follows.

We now prove the second sentence. The natural map  $H^d(F_0) \to H^d(F)$  is injective by [GMS, p. 28]. Fix  $x \in H^d_u(F)$ . As it is unramified at every discrete valuation of  $F/F_0$ , it is the restriction of some element  $x_0 \in H^d(F_0)$  by (5.7). We will show that  $x_0$  belongs to  $H^d_u(F_0)$ .

Fix an extension  $E := F_0(x_1, x_2, ..., x_n)$  containing F. Every ordering on  $F_0$  extends to an ordering v on E (and also on F) by a recipe as in [Lam 80, pp. 9–11]. As the map  $H^d((F_0)_v) \to H^d(F_v)$  is an injection by Lemma 5.8 below, we deduce that  $x_0$  is killed by every real-closure of  $F_0$ .

One extends a nondyadic discrete valuation v on  $F_0$  to E by setting  $v(x_i) = 0$  and  $\bar{x}_i$  to be transcendental over  $\bar{F}_0$  as in [B, Sect. VI.10.1, Prop. 2]; then the residue field of  $\bar{E}$  is  $\bar{F}_0(x_1, x_2, \dots, x_n)$  and the natural map  $H^{d-1}(\bar{F}_0) \to H^{d-1}(\bar{E})$  is injective, hence so is  $H^{d-1}(\bar{F}_0) \to H^{d-1}(\bar{F})$ . It follows that the image of  $x_0$  in  $H^{d-1}(\bar{F}_0)$  is zero, which completes the proof.

Here is the promised lemma:

**Lemma 5.8.** If  $R_1 \subseteq R_2$  are real-closed fields, then the natural map  $H^d(R_1) \to H^d(R_2)$  is an isomorphism for every  $d \ge 0$ .

*Proof.* Trivially,  $H^0(R_i) = \mathbb{Z}/2\mathbb{Z}$ . Also,  $H^1(R_i) = \mathbb{Z}/2\mathbb{Z}$  with nonzero element  $(-1) \in R_i^{\times}/R_i^{\times 2}$ . For all d,  $H^d(R_i)$  (Galois cohomology) equals  $H^d(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z})$  (group cohomology), and two-periodicity for the cohomology of finite cyclic groups shows that  $H^d(R_i) = \mathbb{Z}/2\mathbb{Z}$  for all  $d \geq 0$ , with nonzero element  $(-1)^d$ .

**Example 5.9.** Recall that an extension F of  $F_0$  is *retract rational* if it is the field of fractions of an  $F_0$ -algebra domain S and there are  $F_0$ -algebra homomorphisms  $S \to F_0[x_1,\ldots,x_n](1/s) \to S$  whose composition is the identity on S, where  $F_0[x_1,\ldots,x_n]$  is a polynomial ring and  $s \in F_0[x_1,\ldots,x_n]$  is a nonzero element. Obviously such an extension is unirational, and (5.7) holds by [Sa, 11.8] or [M, Prop. 2.15], so  $H_u^d(F_0) \cong H_u^d(F)$ .

# **6 Transparent Fields**

**Definition 6.1.** Fix a field F of characteristic  $\neq 2$ . For each dyadic valuation v, fix a completion  $\hat{F}$  of F. Write K for the limit of the finite unramified extensions of  $\hat{F}$  with separable residue field. This extension is called the *maximal unramified extension of*  $\hat{F}$  (which highlights the fact that usually the word "unramified" is quite a bit stronger than how we are using it). There is a well-defined ramification map

$$H^{2}(K/\hat{F}, \mu_{2}) \to H^{1}(\bar{F}, \mathbb{Z}/2\mathbb{Z})$$
 s.t.  $(a,b) \mapsto \begin{cases} 0 & \text{if } \nu(a) = \nu(b) = 0 \\ (\overline{a}) & \text{if } \nu(a) = 0 \& \nu(b) = 1 \end{cases}$ 

We say that F is *transparent* if for every division algebra  $D \neq F$  of exponent two such that [D] belongs to  $H_u^2(F)$ , there is some dyadic place v of F and completion  $\hat{F}$  such that

- 1.  $D \otimes \hat{F}$  is split by the maximal unramified extension of  $\hat{F}$ , and
- 2. The image of [D] in  $H^1(\bar{F}, \mathbb{Z}/2\mathbb{Z})$  is not zero.

In case D is a quaternion algebra over F, these hypotheses may be rephrased as:  $D \otimes \hat{F}$  is division and the residue division algebra  $\bar{D}$  is a separable quadratic extension of  $\bar{F}$ .

Roughly speaking, transparent fields are those for which nonzero 2-torsion elements of the Brauer group can be detected using ramification.

**Example 6.2.** 0. If  $H_u^2(F) = 0 - \text{e.g.}$ , if F is real closed – then F is (vacuously, trivially) transparent.

- 1. If F is local then F is transparent. If F is nondyadic, this is Example 5.2(1). Otherwise, for each nonzero  $x \in H_u^2(F)$ , the residue division algebra is necessarily a separable quadratic extension of  $\bar{F}$ , because  $\bar{F}$  is perfect with zero Brauer group.
- 2. If F is global then F is transparent. Indeed,  $H_u^2(F)$  consists of classes ramified only at dyadic places, and every such place has finite residue field.

The unramified Brauer group of an extension  $F/F_0$  is the subgroup of the Brauer group of F consisting of elements that are unramified at every discrete valuation of  $F/F_0$ .

**Proposition 6.3.** If  $F_0$  is transparent,  $F/F_0$  is unirational, and the unramified Brauer group of  $F/F_0$  is zero, then F is transparent.

*Proof.* Suppose there is a nonzero  $x \in H_u^2(F)$ . By Proposition 5.6, x is the image of some nonzero  $x_0 \in H_u^2(F_0)$  and there is a dyadic valuation v of  $F_0$  such that conditions (1) and (2) of Definition 6.1 holds for the division algebra  $D_0$  represented by  $x_0$ . Extend v to a valuation on F as in the proof of Proposition 5.6. The maximal unramified extension of  $\hat{F}$  (with respect to v) contains the maximal unramified extension of  $\hat{F}_0$ , so it kills  $x_0$  and hence also x; this verifies condition (6.1.1). For condition (6.1.2), it suffices to note that the map  $H^1(\bar{F}_0, \mathbb{Z}/2\mathbb{Z}) \to H^1(\bar{F}, \mathbb{Z}/2\mathbb{Z})$  is injective as in the proof of Proposition 5.6.

**Example 6.4.** A retract rational extension of a transparent field is transparent, cf. Example 5.9.

#### 7 Proof of the Main Theorem

We now prove Theorem 1.2. We assume that  $D_1$  and  $D_2$  are not isomorphic and that there is some quadratic extension of F that is contained in both of them, hence that  $D_1 \otimes D_2$  has index 2. We will produce a quadratic extension of F that is contained in one and not the other.

Suppose that first there is a real closure R of F that does not split  $D_1 \otimes D_2$ . Then one of the  $D_i$  is split by R and the other is not; say  $D_1$  is split. We can write  $D_1 = (a_1, b_1)$ , where  $a_1$  is positive in R. The field  $F(\sqrt{a_1})$  is contained in  $D_1$ , but not contained in  $D_2$  because  $D_2 \otimes R$  is division.

If there is a nondyadic discrete valuation of F where  $D_1 \otimes D_2$  is ramified then  $[D_1]$  and  $[D_2]$  have different images under the residue map  $H^2(F) \to H^1(\bar{F})$  and Proposition 3.5 provides the desired quadratic extension.

Finally, suppose that  $D_1 \otimes D_2$  is split by every real closure and is unramified at every nondyadic place, so  $D_1 \otimes D_2$  belongs to  $H_u^2(F)$ . By the original hypotheses on  $D_1$  and  $D_2$ , the class  $[D_1 \otimes D_2]$  is represented by a quaternion division algebra B. But F is transparent, so Proposition 4.1 provides a quadratic extension of F that embeds in  $D_1$  or  $D_2$  but not the other.

# 8 Pfister forms and Nondyadic Valuations

With the theorem from the introduction proved, we now set to proving a version of it for Pfister forms. The goal of this section is the following proposition, which is an analogue of Proposition 3.5.

**Proposition 8.1.** Let F be a field with a nondyadic discrete valuation. Let  $\varphi_1, \varphi_2$  be d-Pfister forms over F for some  $d \geq 2$ . If

- 1.  $\varphi_1$  is ramified and  $\varphi_2$  is not; or
- 2.  $\varphi_1$  and  $\varphi_2$  both ramify, but with different ramification,

then there is a (d-1)-Pfister form  $\gamma$  over F such that  $\gamma$  divides  $\varphi_1$  or  $\varphi_2$  but not both.

The proof given later for case (1) of the proposition was suggested to us by Adrian Wadsworth, and is much simpler than our original proof for that case. It makes use of the following lemma:

**Lemma 8.2.** Let  $\psi = \langle a_1, \dots, a_n \rangle$  be a nondegenerate quadratic form over a field F with a nondyadic discrete valuation v. If  $v(a_i) = 0$  for all i and  $\psi$  is isotropic over the completion  $\hat{F}$  of F then there is a 2-dimensional nondegenerate subform q of  $\psi$  such that  $q \otimes \hat{F}$  is isotropic.

*Proof.* As  $\varphi \otimes \hat{F}$  is isotropic, there exist  $x_i \in \hat{F}$  not all zero so that  $\sum a_i x_i^2 = 0$ . Scaling by a uniformizer, we may assume that  $v(x_i) \ge 0$  for all i and that at least one  $x_i$  has value 0. Let j be the smallest index such that  $v(x_j) = 0$ ; there must be at least two such indices, so j < n.

Fix  $t_i$  in the valuation ring of F so that  $\bar{t}_i = \bar{x}_i$ , and put

$$r := \sum_{i=1}^{j} a_i t_i^2$$
 and  $s := \sum_{i=j+1}^{n} a_i t_i^2$  in  $F$ .

By construction of j, v(r) = 0. As r + s = 0, also v(s) = 0. Now  $\langle r, s \rangle$  is a subform of  $\psi$  (over F), and

$$\bar{r} + \bar{s} = \overline{r + s} = \overline{\sum a_i t_i^2} = \overline{\sum a_i x_i^2} = 0 \in \bar{F}.$$

So  $\langle r, s \rangle$  is isotropic over  $\hat{F}$  [Lam 05].

*Proof* (of Proposition 8.1). Obviously,  $\langle\langle x\pi, y\pi\rangle\rangle \cong \langle\langle -xy, y\pi\rangle\rangle$ , so we may assume that

$$\varphi_i = \langle \langle a_{i2}, a_{i3}, \dots, a_{id}, b_i \rangle \rangle,$$

where  $a_{ij}$  has value 0 and  $b_i$  has value 0 or 1.

In case (2),  $b_1$  and  $b_2$  have value 1 and the residue forms

$$r(\varphi_i) = \langle\langle \bar{a}_{i2}, \bar{a}_{i3}, \dots, \bar{a}_{id} \rangle\rangle$$

are not isomorphic. We take  $\gamma$  to be  $\langle\langle a_{12}, a_{13}, \ldots, a_{1d} \rangle\rangle$ ; it divides  $\varphi_1$ . The projective quadric X defined by  $\gamma=0$  is defined over the discrete valuation ring, and  $\bar{F}(X)$  does not split  $r(\varphi_2)$  by [Lam 05, X.4.10]. Hence, F(X) does not split  $\varphi_2$  and  $\gamma$  does not divide  $\varphi_2$ .

Now suppose we are in case (1); obviously  $v(b_1)=1$ . If  $\varphi_2\otimes\hat{F}$  is anisotropic, then as  $\varphi_2$  does not ramify,  $v(b_2)=0$  and the second residue form of  $\varphi_2$  is zero. Certainly,  $\gamma:=\langle\langle a_{13},\ldots,a_{1d},b_1\rangle\rangle$  divides  $\varphi_1$ . On the other hand, every anisotropic multiple of  $\gamma\otimes\hat{F}$  over  $\hat{F}$  will have a nonzero second residue form, so  $\varphi_2$  cannot contain  $\gamma$ .

Otherwise,  $\varphi_2 \otimes \hat{F}$  is hyperbolic, and there exists some 2-dimensional subform q of  $\varphi_2$  that becomes hyperbolic over  $\hat{F}$  by Lemma 8.2. It follows that there is a (d-1)-Pfister  $\psi$  that is contained in  $\varphi_2$  and that becomes hyperbolic over  $\hat{F}$ . But  $\varphi_1 \otimes \hat{F}$  is ramified, hence anisotropic, so  $\varphi_1$  cannot contain  $\psi$ .

# 9 Theorem for Quadratic Forms

**Theorem 9.1.** Let F be a field of characteristic  $\neq 2$  such that  $H_u^d(F) = 0$  for some  $d \geq 2$ . Let  $\varphi_1$  and  $\varphi_2$  be anisotropic d-Pfister forms over F such that, for every (d-1)-Pfister form  $\gamma$ , we have:  $\gamma$  divides  $\varphi_1$  if and only if  $\gamma$  divides  $\varphi_2$ . Then  $\varphi_1$  is isomorphic to  $\varphi_2$ .

We remark that for d = 2, Theorem 9.1 does not include the case where F is a retract rational extension of a global field with more than one dyadic place, a case included in Theorem 1.2.

*Proof* (of Theorem 9.1). Suppose that  $\varphi_1$  and  $\varphi_2$  are d-Pfister forms over F for some  $d \geq 2$ ,  $H_u^d(F)$  is zero, and  $\varphi_1$  is not isomorphic to  $\varphi_2$ . Then  $\varphi_1 + \varphi_2$  is in  $H^d(F) \setminus H_u^d(F)$ .

If there is a nondyadic discrete valuation where  $\varphi_1 + \varphi_2$  ramifies, then Proposition 8.1 gives a (d-1)-Pfister form that divides one of the  $\varphi_i$  and not the other. Otherwise, there is an ordering  $\nu$  of F such that  $\varphi_1$  and  $\varphi_2$  are not isomorphic over the real closure  $F_{\nu}$ , i.e., one is locally hyperbolic and the other is not. Write  $\varphi_i = \langle \langle a_{i1}, a_{i2}, \ldots, a_{id} \rangle \rangle$  and suppose that  $\varphi_1$  is locally hyperbolic, so some  $a_{1j}$  is positive; renumbering if necessary, we may assume that it is  $a_{1d}$ . Then the form  $\langle \langle a_{12}, a_{13}, \ldots, a_{1d} \rangle \rangle$  divides  $\varphi_1$ , but it is hyperbolic over  $F_{\nu}$  and so does not divide  $\varphi_2$ .

# 10 Appendix: Tractable Fields

We now use the notion of unramified cohomology from Sect. 5 to prove some new results and give new proofs of some known results regarding tractable fields. Recall from [CTW] that a field F of characteristic  $\neq 2$  is called *tractable* if for every  $a_1$ ,

Quaternion Algebras with the Same Subfields

 $a_2$ ,  $a_3$ ,  $b_1$ ,  $b_2$ ,  $b_3 \in F^{\times}$  such that the six quaternion algebras  $(a_i, b_j)$  are split for all  $i \neq j$  and the three quaternion algebras  $(a_i, b_i)$  are pairwise isomorphic, the algebras  $(a_i, b_i)$  are necessarily split. (This condition was motivated by studying conditions for decomposability of central simple algebras of degree 8 and exponent 2.) We prove:

**Proposition 10.1.** If  $H_{\mu}^{2}(F) = 0$ , then F is tractable.

*Proof.* For the sake of contradiction, suppose we are given  $a_i, b_i$  as in the definition of tractable where the quaternion algebras  $(a_i, b_i)$  are not split. If the  $(a_i, b_i)$  do not split at some real closure of F, then the  $a_i$  and  $b_i$  are all negative there. Hence  $(a_i, b_i)$  for  $i \neq j$  is also not split, a contradiction.

So the  $(a_i, b_i)$  ramify at some nondyadic discrete valuation v of F and in particular are division over the completion of F at v. We replace F with its completion and derive a contradiction. Modifying each  $a_i$  or  $b_i$  by a square if necessary, we may assume that each one has value 0 or 1. Further, as each  $(a_i, b_i)$  is ramified, at most one of the two slots has value 0.

Suppose first that one of the  $a_i$  or  $b_i$  – say,  $a_1$  – has value 0. Then  $b_1$  has value 1 and  $a_1$  is not a square in F. As  $(a_1,b_j)$  is split for  $j \neq 1$ , we deduce that  $v(b_j)=0$ . Hence  $v(a_2)=v(a_3)=1$ . As  $(a_3,b_3)$  is division,  $b_3$  is not a square in F. Since  $v(a_2)=1$  and  $v(b_3)=0$ , it follows that  $(a_2,b_3)$  is division, a contradiction.

It remains to consider the case where the  $a_i$ 's and  $b_i$ 's all have value 1. We can write  $a_i = \pi \alpha_i$  and  $b_i = \pi \beta_i$ , where  $\pi$  is a prime element – say,  $a_1$  so  $\alpha_1 = 1$  – and  $\alpha_i$ ,  $\beta_i$  have value 0. In the Brauer group of F, we have for  $i \neq j$ :

$$0 = (\pi \alpha_i, \pi \beta_i) = (\pi \alpha_i, -\alpha_i \beta_i) = (\pi, -\alpha_i \beta_i) + (\alpha_i, \beta_i).$$

It follows that  $-\alpha_i\beta_j$  is a square in F for  $i \neq j$ . In particular,  $-\beta_2$ ,  $-\beta_3$ ,  $\alpha_2$ , and  $\alpha_3$  are all squares. Then

$$(a_2,b_2)=(\pi\alpha_2,\pi\beta_2)=(\pi,-\pi)=0,$$

a contradiction.

**Example 10.2.** In [CTW], the authors proved that a local field is tractable if and only if it is nondyadic (ibid., Cor. 2.3) and a global field is tractable if and only if it has at most 1 dyadic place (ibid., Th. 2.10). Combining the preceding proposition and Example 5.2 gives a proof of the "if" direction for both of these statements.

**Corollary 10.3** ([CTW, Th. 3.17]). Every field of transcendence degree 1 over a real-closed is tractable.

*Proof.* Combine the proposition and Corollary 5.5.  $\Box$ 

**Example 10.4.** The converse of Proposition 10.1 is false. For every odd prime p,  $\mathbb{Q}_p((x))$  is tractable by [CTW, Prop. 2.5]. On the other hand, the usual discrete valuation on the formal power series ring is the unique one [EP, 4.4.1], so  $H^2_\mu(\mathbb{Q}_p((x))) = H^2(\mathbb{Q}_p) = \mathbb{Z}/2\mathbb{Z}$ .

**Corollary 10.5.** *Let*  $F_0$  *be a field of characteristic*  $\neq$  2 *that* 

- Is tractable and
- Is global, local, real-closed, or has no 2-torsion in its Brauer group.

Then every unirational extension  $F/F_0$  satisfying (5.7) – e.g., every retract rational extension  $F/F_0$  – is also tractable.

*Proof.* We note that  $F_0$  has  $H_u^2(F_0) = 0$ . In case  $F_0$  is global or local, then  $F_0$  has one dyadic place or is nondyadic, respectively, by [CTW], and the claim follows, see Example 5.2. Then  $H_u^2(F)$  is also zero by Proposition 5.6, hence F is tractable.

Of course, Corollary 10.5 includes the statement that rational extensions of tractable global fields are tractable, which was a nontrivial result (Example 3.14) in [CTW]. However, a stronger result has already been proved in [Han, Cor. 2.14]: every rational extension of a tractable field is tractable.

We do get a large family of new examples of tractable fields:

**Corollary 10.6.** Let F be a global field with at most one dyadic place. If G is an isotropic, simply connected, absolutely almost simple linear algebraic group over F, then the function field F(G) is tractable.

*Proof.* F(G)/F is retract rational by Theorems 5.9 and 8.1 in [Gi].

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# **Lifting of Coefficients for Chow Motives** of Quadrics

Olivier Haution

**Summary** We prove that the natural functor from the category of Chow motives of smooth projective quadrics with integral coefficients to the category with coefficients modulo 2 induces a bijection on the isomorphism classes of objects.

#### 1 Introduction

Alexander Vishik has given a description of the Chow motives of quadrics with integral coefficients in [6]. It uses much subtler methods than the ones used to give a similar description with coefficients in  $\mathbb{Z}/2$ , found for example in [2], but the description obtained is the same ([2, Theorems 93.1 and 94.1]). The result presented here allows one to recover Vishik's results from the modulo 2 description.

In order to state the main result, we first define the categories involved. Let  $\Lambda$  be a commutative ring. We write  $\mathcal{Q}_F$  for the class of smooth projective quadrics over a field F. We consider the additive category  $\mathscr{C}(\mathcal{Q}_F,\Lambda)$ , where objects are (coproducts of) quadrics in  $\mathcal{Q}_F$  and if X,Y are two such quadrics,  $\operatorname{Hom}(X,Y)$  is the group of correspondences of degree 0, namely  $\operatorname{CH}_{\dim X}(X\times Y,\Lambda)$ . We write  $\mathscr{CM}(\mathcal{Q}_F,\Lambda)$  for the idempotent completion of  $\mathscr{C}(\mathcal{Q}_F,\Lambda)$ . This is the category of graded Chow motives of smooth projective quadrics with coefficients in  $\Lambda$ . If  $(X,\rho),(Y,\sigma)$  are two such motives then we have:

$$\operatorname{Hom}((X,\rho),(Y,\sigma)) = \sigma \circ \operatorname{CH}_{\dim X}(X \times Y,\Lambda) \circ \rho.$$

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